

Monitored Party <b>Zhejiang H.T Cup Industry Co., Ltd</b>	amfori ID <b>156-006474-000</b>	Address <b>Fourth Buildings 129 Changlong South Road, Changlong Industrial Area, Longshan Town,, YongKang, Zhejiang Sheng, China</b>
Monitoring Activity <b>amfori Social Audit - Manufacturing</b>	Monitoring Type <b>Follow-up Monitoring</b>	Monitoring Partner <b>SGS</b>
Monitoring Start Date <b>28/02/2024</b>	Closing Meeting Finished Date <b>28/02/2024</b>	Submission Date <b>05/03/2024</b>
Expiration Date <b>03/03/2025</b>	Announcement Type <b>Fully Announced</b>	
Site <b>Zhejiang H.T Cup Industry CO.,LTD</b>	Site amfori ID <b>156-006474-002</b>	

This is an extract of the online Monitoring Result, generated on 08/03/2024, and is only valid as an acknowledgement of the result. To see all the details, review the full monitoring result, which is available on the [amfori Sustainability Platform](#) - The English version is the legally binding one.

amfori does not assume any liability with regard to the compliance of this extract, or any versions of this extract, with the Regulation (EU) 2016/679 (General Data Protection Regulation).








All rights reserved. No part of this publication may be reproduced, translated, stored in a retrieval system, or transmitted, in any form or by any means electronic, mechanical, photocopying, recording or otherwise, be lent, re-sold, hired out or otherwise circulated without the amfori consent. © amfori, 2021

## OVERALL RATING



## SECTION RATING

PA1: Social Management System	<b>C</b>	
PA 2: Workers Involvement and Protection	<b>C</b>	
PA 3: The Rights of Freedom of Association and Collective Bargaining	<b>A</b>	
PA 4: No Discrimination	<b>A</b>	
PA 5: Fair Remuneration	<b>B</b>	
PA 6: Decent Working Hours	<b>D</b>	

PA 7: Occupational Health and Safety	<b>A</b>	
PA 8: No Child Labour	<b>A</b>	
PA 9: Special Protection for Young Workers	<b>A</b>	
PA 10: No Precarious Employment	<b>A</b>	
PA 11: No Bonded Labour	<b>A</b>	
PA 12: Protection of the Environment	<b>A</b>	
PA 13: Ethical Business Behaviour	<b>A</b>	

## GENERAL DESCRIPTION

Name of lead auditor: Terry Mao; APSCA membership number (CSCA 21701952)

Name of team auditor: Nil

Name of observers, translators, trainees, advisors/consultants: Nil

Monitoring partner name: SGS (Monitoring firm APSCA #: 11600006)

Audit schedule details: The audit was planned for 1 lead auditor x 1 day. The follow-up monitoring (fully announced) was conducted on Feb.28, 2024.

Business partner information: Zhejiang H.T Cup Industry Co., Ltd (Business licence registration number: 91330784581674993M) was located at Fourth Buildings 129 Changlong South Road, Changlong Industrial Area, Longshan Town, YongKang City, Zhejiang Province, China. The factory was founded on Aug.25, 2011, it was limited company and its business license valid until Aug.24, 2031.

The main auditee specialized in thermos cup.

The main production activities in audited factory: metal working, cleaning, welding, vacuumizing, polishing, paint spraying, powder coating, silk-screen printing and packaging.

Production capacity is about 6,000,000 pcs per year.

Audited location information: The factory used one 3-storey comprehensive building, one 4-storey production building and one 3-storey with partial 5F production building as office, warehouse and production area, all these areas were rented from the landlord.

The 3-storey comprehensive building (total 2,600 square meters, every other floor had the same size), 1F was used as mould processing workshop, 2F and 3F were used as office. The 4-storey production building (total 4,200 square meters, every other floor had the same size), 1F was used as raw materials warehouse, metal working workshop, cleaning workshop and vacuumizing workshop, 2F was used as silk-screen printing workshop and packaging workshop, 3F was used as raw materials warehouse, 4F was used as polishing workshop. The 3-storey with partial 5F production building (total 3,800 square meters, 1F, 2F and 3F were all 1,050 square meters, 4F and 5F were all 325 square meters), 1F was used as welding workshop and semi-finished products warehouse, 2F was used as paint spraying workshop and powder coating workshop, 3F was used finished products warehouse, 4F and 5F were idle.

Operating shifts and hours: The main auditee established working hours policy. Based on attendance records from Mar.1, 2023 to audit day (Feb.28, 2024), all the workers had one shift (8:00-11:30, 12:30-17:00), the workers' regular working time was 8 hours per day, 5 days per week. The factory arranged the workers overtime working for 2 hours on weekdays and 8 hours on Saturday and workers could choose overtime working or not. The factory ensured all workers rest on every Sunday and statutory holidays. It was noted the maximum overtime were 2 hours per day, 56 hours per month, and the maximum weekly working time were 52 hours. The main auditee paid enough overtime wage to workers according to legal requirement.

Time recording system: IC card attendance recording system

Salary payment details: The factory established the procedures about wage and benefits, workers' minimum wage, statutory holidays, annual leaves, etc. were defined in the policy. The wages were paid by cash before the end day of the following month, the pay date never delayed, all workers were aware of the wages structures. During the audit, the factory provided the payroll records from Mar, 2023 to Dec, 2023 for review, the workers were paid by monthly rate and the minimum wage for workers were RMB4,100/month, that was met the local minimum wage standard. In addition, no fine was deducted from the wages and the workers were satisfied with their wages. The auditee provided social insurance for some workers.

Worker number information:

Total worker number was 81(include 63 production workers and 18 non-production worker)

Production worker number (include 39 male workers and 24 female workers).

There were 67 domestic migrant workers in the factory, and no child labors, young workers, disabilities workers, lactating workers or pregnant workers were found in the factory.

No interns, apprentices, contractor workers were found in factory.

Good practices: Nil

Worker organization details: There's no trade union in the factory, and 2 worker representatives were elected by workers.

Circumstances: Nil

The special circumstances can be classified as followed: On January 3, 2023, the factory's environmental assessment processing facilities were damaged, but the factory continued to operate as usual, and was fined 20,000 RMB by the

government's environmental protection department.

Summary of findings:

The non-compliances were found in below performance areas:

PA1: amfori BSCI system was incomplete and no planning and calculating for production capacity.

PA2: No defined long-term goals, some interviewed workers knew the worker representative.

PA5: No enough social insurance.

PA6: Overtime hours exceeded legal requirement.

PA7: HS management system was not perfect, partial goods were directly leaned against the wall and pillar, no occupational health examination, the external covers of electric boxes were unlocked, all pressure gauges of simple pressure vessels were not tested.

PA3, PA4, PA8, PA9, PA10, PA11, PA12, PA13: N/A because this audit was follow up audit.

Living wage calculation: The living wage data is provided by the auditing company and please refer to the PA5 summary to find the detail of calculation method of living wage.

Precautions taken about #COVID-19 in the facility: No special requirement.

The Personal Information Protection Law of the People's Republic of China was promulgated on August 20, 2021, the producer ensured that relevant personal data and information provided to SGS auditor(s) has been obtained the individual's consent during the audit.

Attachments :

1. No Consolidated Working Hours System Approval obtained by the auditee, so the documented valid authorisation to make exemptions on working hours was not applicable.
2. The audit was not SPA, so the self declaration of producer was not applicable.
3. This audit was follow up audit, and PA 12 had no problem in the last full audit, so the environmental impact report form, EIA approval or environmental facilities inspection and acceptance approval were not checked on the audit day, so the relevant documents were not included in the report.

Remark:

1. There was no canteen used by the auditee, which made the canteen not applicable.
2. There was no dormitory used by the auditee, which made the dormitory not applicable.
3. The auditee rented one 3-storey comprehensive building, one 4-storey production building and one 3-storey with partial 5F production building as office, warehouse and production area, the leasing contract and the business license were provided for review, this audit only covered these areas which were used by the auditee. And the address in the business license was Fourth Buildings 129 Changlong South Road, Lvnanzhai Four Village, Longshan Town, Yongkang City, Zhejiang Province, it was same with Fourth Buildings 129 Changlong South Road, Changlong Industrial Area, Longshan Town, YongKang City, Zhejiang Province.

## SITE DETAILS

Site  
**Zhejiang H.T Cup Industry  
CO.,LTD**

Site amfori ID  
**156-006474-002**

### GICS Classification

---

Sector <b>Consumer Discretionary</b>	Industry Group <b>Consumer Durables &amp; Apparel</b>	Industry <b>Household Durables</b>
Sub Industry <b>Housewares &amp; Specialties</b>		

### amfori Process Classifications

---

N.A.

### GS1 Classifications

---

N.A.

### NACE Classification

---

N.A.

### Water Stress Situation

---

N.A.

## METRICS

### Key Metrics

Total workforce	81	Workers
Legal minimum wage in local currency	2,070	Monthly
Lowest wage paid for regular work at the site	4,100	Monthly
Calculated living wage in local currency	3,887	Monthly
Total sample	5	Workers

### Other Metrics

Male workers	49	Workers
Female workers	32	Workers
Permanent workers - Male	49	Workers
Permanent workers - Female	32	Workers
Temporary workers - Male	0	Workers
Temporary workers - Female	0	Workers
Seasonal workers - Male	0	Workers
Seasonal workers - Female	0	Workers
Management - Male	8	Workers
Management - Female	1	Workers
Apprentices - Male	0	Workers
Apprentices - Female	0	Workers
Workers on probation - Male	0	Workers
Workers on probation - Female	0	Workers
Workers with night shift - Male	0	Workers
Workers with night shift - Female	0	Workers
Workers with disabilities - Male	0	Workers
Workers with disabilities - Female	0	Workers
Domestic migrant workers - Male	42	Workers
Domestic migrant workers - Female	25	Workers
Foreign migrant workers - Male	0	Workers
Foreign migrant workers - Female	0	Workers
Workers hired directly - Male	49	Workers
Workers hired directly - Female	32	Workers
Workers hired indirectly - Male	0	Workers
Workers hired indirectly - Female	0	Workers
Unionised workers - Male	0	Workers
Unionised workers - Female	0	Workers
Workers under CBA - Male	0	Workers
Workers under CBA - Female	0	Workers
Pregnant workers	0	Workers

Workers on parental leave - Male	0	Workers
Workers on parental leave - Female	0	Workers
Sample - Male	3	Workers
Sample - Female	2	Workers

# FINDINGS



## PA1: Social Management System

Site: Zhejiang H.T Cup Industry CO.,LTD | Site amfori ID: 156-006474-002

**Question:** 1.1 Is there satisfactory evidence that the auditee has set up an effective management system to implement the amfori BSCI Code of Conduct?

ENGLISH	LOCAL LANGUAGE
<b>Finding</b>	
<p>1st follow up audit on Feb.28, 2024: Open</p> <p>1.1 The main auditee partially respected this principle. The factory had established management system according to amfori BSCI requirement and conducted the internal audit for management system on Nov.24, 2023, but based on documents review, onsite check, interview with workers and managements, some issues appeared in Workers Involvement and Protection, Fair Remuneration, Decent Working Hours and Occupational Health and Safety. The facility declared that was due to the management and workers didn't know the related requirement.</p> <p>It violated the requirement of question 1.1 in amfori BSCI system manual.</p>	<p>第一次跟进审核在2024年2月28日：未关闭 主要被审核方（生产商）部分遵循该准则。工厂有按照amfori BSCI要求建立相关体系，且在2023年11月24日进行了社会责任体系内审，但根据文件审核，现场审核，员工及管理层的访谈确认，工厂在员工参与和保护，公平报酬，体面劳动时间和职业健康安全方面出现问题，工厂解释这是由于管理层和员工不清楚相关要求。</p> <p>违反了amfori BSCI管理手册中问题1.1的要求。</p>

**Question:** 1.4 Is there satisfactory evidence that the auditee's workforce capacity is properly organised to meet the expectations of the delivery order and/or contracts?

ENGLISH	LOCAL LANGUAGE
<b>Finding</b>	
<p>1st follow up audit on Feb.28, 2024: Open</p> <p>1.4 The main auditee partially respected this principle. Because based on documents review, interview with workers and managements, the factory had realistically calculated the costs of production and delivery times, but the factory did not provide the related document and records on capacity planning for review. In addition, based on electric attendance records review, all sampled workers' monthly OT hours exceeded the legal requirement (E.g. the maximum monthly overtime was 56h (e.g. in Apr, Jul and Dec, 2023) for workers). The facility declared that was due to the management and workers didn't know the related requirement.</p> <p>It violated the requirement of question 1.4 in amfori BSCI system manual.</p>	<p>第一次跟进审核在2024年2月28日：未关闭 主要被审核方（生产商）部分遵循该准则。因为根据文件审核，员工及管理层的访谈确认，工厂了解如何计算生产产能和订单周期，但无任何计算方法和记录保留。另外根据工厂提供的考勤记录显示，所有抽样员工的月加班时间超法规要求（例如：员工最大月加班为2023年4月，7月和12月的56小时）。工厂解释这是由于管理层和员工不清楚相关要求。</p> <p>违反了amfori BSCI管理手册中问题1.4的要求。</p>

## PA 2: Workers Involvement and Protection

Site: Zhejiang H.T Cup Industry CO.,LTD | Site amfori ID: 156-006474-002

**Question:** 2.2 Is there satisfactory evidence that the auditee defines long-term goals for protecting workers in line with the aspirations of the amfori BSCI Code of Conduct?

### ENGLISH

### LOCAL LANGUAGE

#### Finding

1st follow up audit on Feb.28, 2024: Open  
2.2 The main auditee did not respect this principle because as based on document review, management interview and workers interview, during the period of Mar.1, 2023 to Feb.28, 2024, the factory did not define long-term goals to protect workers according to the amfori BSCI Code of Conduct. The facility management declared that, they didn't understand the requirement about long-term goal.  
It violated the requirement of question 2.2 in amfori BSCI system manual.

第一次跟进审核在2024年2月28日：未关闭  
主要被审核方（生产商）未遵循该准则，因为通过文件审核、管理层和员工面谈了解到，工厂在2023年3月1日至2024年2月28日期间没有依据amfori BSCI的行为准则制定长远的目标来保护员工。工厂管理层解释其对长期目标的要求不了解。违反了amfori BSCI管理手册中问题2.2的要求。

**Question:** 2.5 Is there satisfactory evidence that the auditee has established, or participates in, an effective operational-level grievance mechanism for individuals and communities?

### ENGLISH

### LOCAL LANGUAGE

#### Finding

1st follow up audit on Feb.28, 2024: Open  
2.5 The main auditee partially respected this principle because as based on document review, management interview and workers interview, 3 out of total 5 interviewed workers in the factory did not clear who was the worker representative in the factory or what the responsibility of worker representative was. The facility management declared that the training for workers by factory was inadequate.  
It violated the requirement of question 2.5 in amfori BSCI system manual.

第一次跟进审核在2024年2月28日：未关闭  
主要被审核方（生产商）部分遵循该准则，因为通过文件审核、管理层和员工面谈了解到，工厂内5名访谈员工中有3名员工不清楚员工代表是谁，也不清楚员工代表的职责什么。工厂管理层解释其对工人的培训不充分。违反了amfori BSCI管理手册中问题2.5的要求。

## PA 5: Fair Remuneration

Site: Zhejiang H.T Cup Industry CO.,LTD | Site amfori ID: 156-006474-002

**Question:** 5.5 Is there satisfactory evidence that the auditee provides workers with the social benefits that are legally granted?

### ENGLISH

### LOCAL LANGUAGE

## Finding

1st follow up audit on Feb.28, 2024: Open  
5.5 The main auditee did not respect this principle because based on documents review, workers interview and management interview, the factory did not provide social insurance to workers as per legal requirement. There were total 81 workers, 9 workers had been retired, and 10 new workers who entered into the factory in the recently one month. The auditee should buy social insurances for 62 workers; Based on social insurance payment records review, it was noted that the auditee only bought 25 out of total 62 workers with maternity, medical, retirement and unemployment insurance, provided injury insurance to 70 workers. Besides, 21 factory workers were provided with commercial accident injury insurance. The contract's period of validity was from Oct.19, 2023 to Oct.18, 2024. Interviewed workers who were not provided with social insurance claimed they abandoned social insurance voluntarily, since they had purchased rural insurance before entry. However, they could not provide relevant receipt for review during the audit. The facility management declared that the reason for poor social insurance coverage rate was high turnover rate and some workers had bought the new rural social pension insurance. It violated with the requirement of Labor Law of the People's Republic of China (2018 Amendment) Article 72 and 73.

第一次跟进审核在2024年2月28日：未关闭  
主要被审核方（生产商）未遵循该准则。因为根据文件审核，员工访谈和管理层访谈，工厂未按照法规要求给所有人员提供所有险种社保。工厂总人数81名，9名为退休员工，10名最近一个月内的新进员工，工厂应该给62名员工提供社保。根据工厂提供的社会保险缴费记录显示，工厂仅给62名员工中的25名员工提供了生育，医疗，养老和失业保险，给70名工人购买了工伤保险。另给工厂21名员工缴纳了商业意外险，合同有效期为2023年10月19日至2024年10月18日。访谈中未参保的工人们确认他们自愿放弃社保，因为他们入职前已经购买了农保，但审核中他们无法提供相关的凭证以供审核。工厂解释社保不足的原因是工人流动性大且部分工人已自行购买新农保。  
违反了中华人民共和国劳动法（2018修正），第七十二条和第七十三条的要求。

## PA 6: Decent Working Hours

Site: Zhejiang H.T Cup Industry CO.,LTD | Site amfori ID: 156-006474-002

**Question:** 6.2 CRUCIAL: Is there satisfactory evidence that the auditee request of overtime is in line with the requirements of the amfori BSCI Code of Conduct?

### ENGLISH

### LOCAL LANGUAGE

## Finding

1st follow up audit on Feb.28, 2024: Open  
6.2 The main auditee did not respect this principle because workers' monthly overtime hours exceeded legal requirement. Based on documents review, workers interview and management interview, during the audit the factory provided workers' attendance records from Mar.1, 2023 to Feb.28, 2024 for review, based on sampling check,

第一次跟进审核在2024年2月28日：未关闭  
主要被审核方（生产商）未遵循该原则，因为员工的月加班时间超过法规要求。根据文件审核，员工访谈和管理层访谈，审核期间工厂提供的工人从2023年3月1日至2024年2月28日的考勤记录，所有抽样员工的月加班时间均超过36小时，最大发生在如2023年4月，7月和12月的56小时（包括平时加班16小时以及周末加班40小时），他们的最大的日加

### Finding

it was noted that all sampled workers' monthly overtime hours exceeded 36 hours, the max monthly overtime hours up to 56 hours such as in Apr, Jul and Dec, 2023 (including 16 weekday overtime hours and 40 weekend overtime hours), whose max daily overtime hours were 2 hours, max weekly working hours were 52 hours. The facility management declared that the reason for overtime working was catch up the shipping date. It violated with the requirement of Labor Law of the People's Republic of China (2018 Amendment), Article 41.

班为2小时，最大周工作时间为52小时。工厂管理层解释加班的原因是为了赶出货交期。违反了中华人民共和国劳动法（2018修正）第四十一条的要求。

## PA 7: Occupational Health and Safety

Site: Zhejiang H.T Cup Industry CO.,LTD | Site amfori ID: 156-006474-002

**Question:** 7.1 Is there satisfactory evidence that the auditee observes occupational health and safety regulations applicable for its activities?

### ENGLISH

### LOCAL LANGUAGE

### Finding

1st follow up audit on Feb.28, 2024: Open  
7.1 The main auditee partially respected this principle because based on onsite check, documents review, workers interview and management interview, the auditee had collected laws and regulations about OHS, established management system on health and safety and assigned one qualified employee Mr. Zenghui Chen / Admin Manager to monitor implement, provided H&S training to workers, but H&S issues were identified due to management negligence, besides, based on onsite check, the factory partial goods in the finished products warehouse were directly leaned against the window and pillar. The facility declared that was due to the management and workers didn't know the related requirement. It violated the requirement of question 7.1 in amfori BSCI system manual and Regulations on Fire Prevention of Warehouse (1990), Article 18.

第一次跟进审核在2024年2月28日：未关闭  
主要被审核方（生产商）部分遵循该准则。原因是根据现场查看，文件审核，员工访谈及管理层访谈，被审核方虽然收集了健康安全相关的法律法规，建立健康安全管理体系并指定了专人陈增辉/行政经理负责监督执行，提供了健康安全培训给工人，但是由于管理疏忽，导致仍然有健康安全问题存在，另根据现场查看，工厂的成品仓库的部分货物挨窗靠柱存放。工厂解释这是由于管理层和员工不清楚相关要求。  
违反了amfori BSCI管理手册中问题7.1和仓库防火安全管理规则（1990）第十八条的要求。

**Question:** 7.2 Is there satisfactory evidence that the auditee seeks to improve workers' protection in case of accident, including through compulsory insurance schemes?

### ENGLISH

### LOCAL LANGUAGE

Finding	
<p>1st follow up audit on Feb.28, 2024: Closed</p> <p>7.2 根据文件审核，员工访谈及管理层访谈，工厂总人数81名，9名为退休员工，10名最近一个月内的新进员工。根据工厂提供的社会保险缴费记录显示，工厂仅给70名工人购买了工伤保险。另给工厂21名员工缴纳了商业意外险，合同有效期为2023年10月19日至2024年10月18日。</p>	<p>第一次跟进审核在2024年2月28日：已关闭</p> <p>Based on documents review, workers interview and management interview, there were total 81 workers, 9 workers had been retired, and 10 new workers who entered into the factory in the recently one month. Based on social insurance payment records review, it was noted that the auditee provided injury insurance to 70 workers. Besides, 21 factory workers were provided with commercial accident injury insurance. The contract's period of validity was from Oct.19, 2023 to Oct.18, 2024.</p>

Question: 7.3 Is there satisfactory evidence that the auditee regularly carries out risk assessments for safe, healthy and hygienic working conditions?	
ENGLISH	LOCAL LANGUAGE
Finding	
<p>1st follow up audit on Feb.28, 2024: Open</p> <p>7.3 The main auditee did not respect this principle because based on documents review, workers interview and management interview, the factory conducted the risks assessment on occupational health and safety for the posts, pregnant women and other particularly vulnerable employees, but COVID-19 was not covered in the risk assessment of infectious diseases, and the factory did not arrange the occupational health examinations for the workers in hazardous posts as per the law requirements, such as the metal working workers, welding workers, polishing workers, paint spraying workers and powder coating workers, etc. The facility declared that was due to the management and workers didn't know the related requirement. It violated with the requirement of Law of the People's Republic of China on Prevention and Control of Occupational Diseases (2018 Amendment), Article 35.</p>	<p>第一次跟进审核在2024年2月28日：未关闭</p> <p>主要被审核方（生产商）未遵循该准则。因为根据文件审核，员工访谈及管理层访谈，工厂对所有岗位，孕妇和其他弱势群体等进行岗位安全风险评估，但在传染病风险评估中未涉及新型冠状病毒，且工厂未安排危害岗位工人如五金加工工人，焊接工人，抛光工人，喷漆工人和喷塑工人等进行职业病体检。工厂解释这是由于管理层和员工不清楚相关要求。</p> <p>违反了中华人民共和国职业病防治法（2018修正）第三十五条的要求。</p>

Question: 7.6 Is there satisfactory evidence that the auditee enforces the use of PPE to provide protection to workers alongside other controls and safety systems?	
ENGLISH	LOCAL LANGUAGE
Finding	
<p>1st follow up audit on Feb.28, 2024: Closed</p>	<p>第一次跟进审核在2024年2月28日：已关闭</p>

Finding	
7.6 Based on onsite observation, the factory issued proper PPEs to all workers engaged in hazardous work, and all powder coating workers and polishing workers wore dustproof masks during work, all metal working workers wore earplugs while operating.	根据现场审核，工厂给所有有害岗位工作的工人均发放了个人防护用品，所有喷塑工人和抛光工人工作时佩戴防尘口罩，所有金工工人工作有佩戴耳塞。

**Question:** 7.13 Is there satisfactory evidence that the auditee makes sure a competent person periodically checks the electrical installations and equipment?

ENGLISH	LOCAL LANGUAGE
Finding	
1st follow up audit on Feb.28, 2024: Open 7.13 The main auditee partially respected this principle. Because based on onsite check, documents review, workers interview and management interview, the factory established the management program on electrical installations and equipment, appointed the person to inspect regularly, during the audit, the inspection records were saved, but based on onsite observation, the external covers of 50% electric boxes in the production workshops were unlocked. The facility declared that was due to the management and workers didn't know the related requirement. It violated the requirement of National Safety Technical Code for Electric Equipment (GB 19517-2009) 2.2.3.	第一次跟进审核在2024年2月28日：未关闭 主要被审核方（生产商）部分遵循该准则。因为根据现场查看，文件审核，员工访谈及管理层访谈，工厂建立了电气设施管理程序且安排人员去负责定期检查，本次审核，工厂保留了电气设施的检查记录，但根据现场观察，生产车间内有50%的配电箱外盖没有上锁。工厂解释这是由于管理层和员工不清楚相关要求。 违反了国家电气设备安全技术规范（GB 19517-2009）2.2.3的要求。

**Question:** 7.17 Is there satisfactory evidence that the auditee ensures adequate safeguards for any machine part, function, or process which may cause injury to workers?

ENGLISH	LOCAL LANGUAGE
Finding	
1st follow up audit on Feb.28, 2024: New finding 7.17 The main auditee partially respected this principle. Based on onsite check, documents review, workers interview and management interview, the facility had made the procedure on equipment management and appointed the person to maintain, the factory had obtained the annual inspection reports of all elevators, but the	第一次跟进审核在2024年2月28日：新问题 主要被审核方（生产商）部分遵循该准则。根据现场查看，文件审核，员工访谈及管理层访谈，工厂建立了设备管理制度和任命人员去维护保养，工厂有获得所有电梯的年检报告，但管理系统需要提升。例如，所有简单压力容器的压力表未检测。工厂解释这是由于管理层和员工不清楚相关要求。 违反了特种设备安全监察条例（2009修订）第二十

## Finding

management system needed improvement. For example, all pressure gauges of simple pressure vessels were not tested. The facility declared that was due to the management and workers didn't know the related requirement.

It violated the requirement of Regulations on Safety Supervision of Special Equipment (2009 Revision), Article 28.

八条的要求。